# **Contribution of the standard penetration test SPT to the design of pile foundations in sand– Practical recommendations**

## Bouafia Ali\*

Department of Civil Engineering, Faculty of Technology, University of Blida1, Blida, 09000, Algeria. \* Corresponding Author: ali.bouafia@univ-blida.dz

 Submitted : 03-07-2022

 Revised
 : 20-09-2022

 Accepted
 : 21-09-2022

# ABSTRACT

The objective of this article is to present original research work results on the contribution of the standard penetration test (SPT) to the design and analysis of pile foundations, on the basis of the interpretation of a database of full-scale pile loading tests undertaken in sandy soils, with the aim to provide practical recommendations to the designers. The article encompasses three parts, the first one presents a detailed comparative study of 10 currently used methods of design on the basis of the SPT, assessed according to their predictive capability of the axial bearing capacity, within a database consisting of 46 axial pile loading tests carried out in 27 sites in the United Arab Emirates. The second part presents some experimental findings related to the critical (or creep) vertical load, whereas the third part highlights the concept of the reference pile settlement and its application to the limit state design of pile foundations.

Keywords: Full-scale test; Load-transfer curves; Settlement; Pile; SPT test; Sand.

#### **1. INTRODUCTION**

Ultimate limit state design (ULSD) of pile foundations requires the verification of the pile bearing capacity, which may be carried out as prescribed by the modern geotechnical standards by geotechnical calculation based on the pile/soil properties, or by a full-scale pile loading test. This test is often used to estimate the pile bearing capacity  $Q_l$ , the critical load (also called the creep load)  $Q_c$ , as well as the pile settlement under working loads. However, this pragmatic approach of design avoiding all the uncertainties related to any theoretical model of the pile/soil interaction, is relatively expensive and usually undertaken within the scope of an important project of pile foundations. The test pile may be fully instrumented to separately measure the pile base resistance  $Q_p$  as well as the skin friction load  $Q_s$ , the pile bearing capacity  $Q_l$  being the sum of  $Q_p$  and  $Q_s$ .

However, in most of the cases the test pile is simply instrumented, and the test is limited to estimating  $Q_l$  by using some design criteria, the direct measure being practically impossible. There is a multitude of criteria leading sometimes to non-negligible scatter in predicting  $Q_l$  (Hirany and Kulhawy, 1988). A possible explanation of this usual discrepancy is the empirical nature of many of these criteria, established on the basis of an observational work of the pile tests involving a local geotechnical context and a specific experimental procedure. One of the aims of this paper is to contribute to compare between these criteria according to a ranking procedure with respect to the experimental values of the pile bearing capacity in sand.

The second aim of this paper is to use the best ranked criterion as a reference of comparison between 10 currently used SPT based-methods of bearing capacity, which led to ranking them according to their predictive capability within a database of 46 axial pile loading tests carried out in 27 sandy soils.

## 2. BRIEF LITERATURE REVIEW

As previously mentioned, a vertical loading test on a simply instrumented pile cannot directly measure the pile bearing capacity  $Q_l$ . The load-settlement curve is nonetheless interpreted via a design criterion to estimate  $Q_l$ . The most commonly used criteria are summarized in table 1 and may be classified either into theoretical (1 to 4), or empirical (5 to 11), or conventional criteria (12).

The pile bearing capacity  $Q_l$  is the sum of the limit load  $Q_p$  at the pile base (or end bearing capacity) and the limit load  $Q_s$  due to skin friction stresses. For a circular, uniform shaped pile:

$$Q_{l} = Q_{p} + Q_{s} = q_{l} \frac{\pi B^{2}}{4} + \pi B \int_{0}^{D} q_{s}(z) dz$$
(1)

B, D,  $q_i$  and  $q_s(z)$  are respectively the pile diameter, the pile embedded length, the end bearing resistance and the limit skin friction stress at the depth z along the pile.

The usual SPT-based methods of the pile bearing capacity may be classified with regard to their nature into two categories. The first one contains the empirical methods derived from the interpretation of databases of pile loading tests in correlation with the  $N_{spt}$  value. According to these methods, the end bearing resistance is assumed to be proportional to an equivalent value noted by  $N_{spt}^{e}$  around the pile base in such a way as:

(2)

$$q_l = K_s N_{spt}^e$$

The limit skin friction stress at a given depth z is proportional to the  $N_{spt}(z)$  value at this depth:

$$q_s(z) = n_s N_{spt}(z) \tag{3}$$

 $K_s$  and  $n_s$  have the unit of stress and are respectively called the "tip resistance factor" and the "skin friction factor". All the methods 1 to 9 in table 1 are empirical.

The second category encompasses semi-empirical methods based on a theoretical model of the end bearing capacity as well as of the limit skin friction, calibrated by some geotechnical correlations. To this category belongs the Hansen-Burland's method (Bouafia and Derbala, 2002b).

## **3. DESCRIPTION OF THE DATABASE**

A database was built from axial loading tests carried out in sites located in the United Arab Emirates, with a size of 46 static loading tests in 27 sites. As shown in Figure 1, the sites studied are located in the coastal cities of the Arabian Gulf, and cover the main capitals of the Emirates, particularly Dubai, Sharjah, Ajman, and Um-Al-Quwain (Bouafia & Derbala, 2002a).



Figure 1. Location of the experimental sites (denoted by black dots) (Bouafia, 2004)

The Arabian Gulf was subject to an important process of carbonate sedimentation, and the soil deposits in the UAE are mostly Pleistocene or recent in age. Shallow layer of the soil is composed of fine-grained to medium-grained, slightly silty to silty sand with traces of gravel, gypsum, shell fragments and cemented sand. It is classified as Sw, Sp or Sm sand according to the USCS classification system. Groundwater is always encountered in these sites, at depths up to 6.50 m below the ground level. Site investigations are often carried out by using the SPT test, with a typical configuration consisting of a hammer with a mass of 63.5 kg and a fall height of 0.76 m. The length of the drive shoe is characterized by outer and inner diameters of 75 and 35 mm respectively. In figure 2 is illustrated an example of a borehole log showing the typical lithology of the sites studied.

Test piles are cast in-situ reinforced concrete piles, bored with either the casing method or continuous flying auger method. Sometimes, bentonite mud is used to maintain the pile borehole. Margins of the pile diameter B and the slenderness ratio (Length/Diameter) are respectively 0.45-1.10 m and 10-36.7, where the concrete compressive strength usually varies between 20 and 40 MPa.

N°	Criterion	Procedure				
		Curve $Q=f(v_0)$ to be fitted by the hyperbolic function:				
1	Hyperbolic fitting	$Q = \frac{v_0}{a + bv_0}$				
		Q: vertical load $v_0$ : Pile top settlement				
		$Q_{l}=1/b$ and $K_{v0}=1/a$ : Pile vertical stiffness				
		Curve $Q=f(v_0)$ to be fitted by the exponential function:				
2	Exponential fitting (Van-Der-Veen	$Q = Q_l \left( 1 - \exp\left(-\frac{K_{\nu 0}}{Q_l} v_0\right) \right)$				
	criterion)	$Q_l$ : Bearing capacity and $K_{v0}$ : Pile vertical stiffness				
	Zero secant stiffness	Draw the curve of the secant stiffness $K_v=Q/v_0$ as function of Q				
3	(Decourt's criterion)	Last points fluctuating around a line to be fitted by a straight line				
		$Q_l$ is the abscissa of the intercept of this line with the axis of Q				
		Curve $Q=f(v_0)$ to be fitted by the PARECT function:				
4	Parabola-Rectangle fitting	$Q = 2Q_l \frac{v_0}{v_0^R} \left( 1 - \frac{1}{2} \frac{v_0}{v_0^R} \right)$				
		$Q_l$ : Bearing capacity and $v_0^R$ : Reference settlement of the pile				
	Tangente-1	Draw the initial tangent of the curve $Q=f(v_0)$				
5	(Butler & Hoy	Draw the tangent whose slope is 7 kN/mm				
	Criterion)	Q <sub>l</sub> is the intercept of these tangents				
		Draw the curve $v_0^{1/2}/Q = f(v_0)$				
6	80% criterion	The linear shape of this curve is to be fitted by a straight line: $a+b.v_0$				
	(Hansen's criterion)	$Q_{l}=0.5/(a.b)^{1/2}$				
7	90% criterion	$Q_l$ is the load causing a settlement double of that corresponding to a 90%				
	(Hansen's criterion)	of this load				
		(Use hereafter the units mm and kN)				
		Draw the curve $Q=f(v_o)$ and the line of the elastic pile compression whose				

Table 1.	Compilation	of some usua	al design	criteria to	estimate	$Q_l$	Bouafia et	al, 20	22)
			0			<b>~</b> + \			

Contribution of the standard penetration test SPT to the design of pile foundations in sand-Practical recommendations

8	Davisson's offset limit	slope is $\pi E_p B^2/4/L$
	(Davisson's criterion)	Draw a straight line parallel to the first one and passing by the point
		(3.75+B/120, 0)
		$Q_l$ is the intercept of the curve Q-v <sub>o</sub> with this line
		Draw a straight line whose slope is 7 kN/mm.
9	Tangente-2	This line is to be shifted horizontally till it will be tangent to the curve
	(Fuller & Hoy	$Q=f(v_0)$
	criterion)	$Q_l$ is the ordinate of this point of tangent
		Draw the curve $Log_{10}(Q)$ as function of $Log_{10}(v_0)$
10	Logarithmic criterion	First points fluctuating around a line to be fitted by a straight line
	(De-Beer Criterion)	Last points fluctuating around a line to be fitted by a straight line
		$Q_l$ is the intercept of these lines
		Draw the curve $Q=f(v_0)$ and the line of the elastic pile compression whose
		slope is $\pi E_p B^2/4/L$
11	Limit settlement	Draw a straight line parallel to the first one and shifted horizontally by
	(FDOT criterion)	B/30 (B in mm)
		$Q_l$ is the intercept of the curve $Q=f(v_0)$ with this line
12	Conventional criterion	$Q_l$ corresponds to a settlement $v_0$ equal to B/10

Table 2 S	Summary of	the differer	t SPT_based	methods of	computation	$of O_{1}$
1 able 2. S	Summary Or	the unifieren	it SF I-Daseu	methous of	computation	$OI Q_l$

No.	References	K <sub>s</sub> (kPa)	n <sub>s</sub> (kPa)	N <sub>spt</sub> <sup>e</sup> and zone of influence	Pile installation
1	Bazarra & Kurkur (1986)	135 if B≤0.5 m 270xB else (B in m)	$\begin{array}{ll} 0.67 & \text{if } B {\leq} 0.5 \text{ m} \\ 1.34 \text{xB else} \\ (\text{B in m}) \end{array}$	Average within [D-3.75xB, D+B]	bored
2	Décourt (1982)	400 in sand 250 in residual silty sand	$\begin{array}{l} q_s=10x(N_{spt}/3+1)\\ (\text{in kPa}) \end{array}$		bored
3	Lopes & Laprovitera (1988)	98.4 in sand 87.0 in silty sand	1.62 in sand 1.94 in silty sand	Average within [D-B, D+B]	bored
4	Meyerhof (1976) CFEM (2006)	120 400	1 2	-Average within [D-8B, D+3B] -Correction due to depth effect	bored driven
5	Shioi and Fukui (1982)	100 300	1		bored driven
6	Aoki & Velloso (1975)	286 in sand 228 in silty sand	2.00 in sand 2.28 in silty sand	Average of the three N <sub>spt</sub> values closest to pile base	bored
7	PHRI Standard (1980)	400	2	<ul> <li>average of N<sub>1</sub></li> <li>and N<sub>2</sub></li> <li>-correction due to ground water effect</li> </ul>	driven
8	Reese et O'Neill (1989)	60 if B=0.52-1.27 76/B if B>1.27 m (B in m)	3.3		bored
9	Robert (1997)	115 190	1.90 1.90	-correction due to depth effect	bored driven
10	Hansen-Burland (1973)	$ \begin{array}{l} q_i = N_q.  \sigma_v'(D) \\ D: Pile embedded leng \\ N_q = Hansen's bearing \\ N_q = f(\phi) \phi derived from \\ q_s = K_{0.} \sigma_v'. tg\delta (Burla \\ K_0 = (1-sin\phi).(OCR)^{1/2} \\ intermediate roughness \\ \delta = 0.75 x \phi \end{array} $	gth g capacity factor om $φ$ -N <sub>spt</sub> chart and's β formula) (OCR=1) ss of pile shaft:	<ul> <li>-Average within [D-B/2, D+2B]</li> <li>- correction due to ground water effect</li> </ul>	

Test piles are usually simply instrumented at the top with 4 dial gauges, with usual accuracy of 1/100 mm for the settlement reading. The load is applied in increments by a hydraulic jack and a pump assembly fitted with a pressure gauge, against a weighted platform.

The experimental program consists of a series of increments of vertical load in two cycles, the duration of each increment being controlled by a required rate of settlement less than 0.25 mm/hour. The loading magnitude of the first cycle is equal to the design load (or nominal load), whereas in the second cycle the magnitude reaches 1.5 to 2 times the design load (ASTM, 1994).



0.0

-0.5

-1.0

-1.5

-2.0

-2,5

-3.0

-3.5

-4.0

4.5

-5.0

-5.5

-6.0

-6.5

-7.0

-7.5

-8.0

-8.5

-9.0

-9.5

Contract **Borchole No** Ground Level Borehole Dia : 2725, Plot No. 676, Al Majaz, Sharjah : 1 Sheet (1) : 160mm

Type of Boring : Percussion Drilling Date : 2/4/97 Bore Samples S.P.T. Core hole Recor ROD Description of Strata Layer Legend Depth No Type 160mm 160mm 160mm 'N' Y. Υ. Thk, Existing Ground Surface 1 χύ D х ose brown to grey fine to medium slightly SILTY 2.0 2 5 4 4 8 x SAND with shell fragments 3 з 4 6 10 χυ . x 2 4 4 5 9 Loose grey fine to medium SILTY SAND with shell fragments 2.0 X-ΧU 5 13 17 19 36 8 13 21 30 51 7 18 29 40 69 Dense to very dense grey fine SILTY SAND with comented fragments 4.5 8 32 >50 for 6 9 36 >50 for 6 10 15 11 16 27 Medium dense to dense grey very SILTY SAND 1.5 X



Figure 2. Typical Borehole Log and SPT values profile

# 4. COMPARATIVE STUDY AND RANKING OF THE METHODS

# 4.1. Selection of the reference bearing capacity

Comparison of predicted values of the pile bearing capacity  $Q_l$  by the SPT-based methods summarized in table 2 should be made with respect to a "reference value" derived from the interpretation of the load-settlement curve of the test pile by using a design criterion.

Almeida and Liu (2019) presented a comparative study of two CPT-Based methods and conventionally adopted the criterion of Butler and Hoy as a reference value (criterion 5 in table 1). Stuedlein et al (2014) used a database of 36 loading tests on augered cast-in-place (ACIP) piles in cohesionless soils, and conventionally adopted the Davisson's criterion (criterion 8 in table 1) as a reference value. Duzceer and Saglamer (2002) conducted a comparative study of several design criteria by using a database of 14 driven and 10 bored piles in different soil conditions in Turkey, Saudi Arabia and Kazakhstan, and conventionally adopted the reference value as that predicted by the FHWA method.

In order to select the most reliable design criterion among those formulated in table 1, it was decided to undertake an assessment of these criteria applied to a small sized worldwide database of vertical loading tests on fully instrumented piles in sand, the  $Q_l$  being directly measured. The experimental piles were instrumented by different techniques of measurement of the axial load distribution along the pile, as well as the vertical pressure at the pile base.

Table 3 summarizes the main features of the pile/soil configurations used to select the design criterion. Pile loading tests were carried out on 10 instrumented piles and installed through different techniques into 8 sites composed of sandy soils. It was found that the empirical criteria, namely those numbered from 5 to 11 in table 1, are in most cases not applicable, which is confirmed by previous studies (Bouafia et al, 2022, Miad and Mahious, 2021). These empirical criteria are based in graphical procedures, which are not applicable in several cases due to margins of the experimental values obtained during the pile loading test.

The selective study was then focused on the theoretical criteria (1 through 4) and the conventional criterion (12). The "bearing capacity ratio"  $\lambda$  is defined as follows:

$$\lambda = \frac{Q_l^{crit}}{Q_l^{exp}}$$
(4)

where  $Q_l^{crit}$  and  $Q_l^{exp}$  are respectively the predicted bearing capacity according to a given criterion from the table 1 and the measured one from the loading test. Each criterion was ranked with respect to three features: the accuracy, the precision, and the "quality of prediction" which are respectively quantified by: the arithmetic average  $\lambda_m$ , the standard deviation SD<sub> $\lambda$ </sub>, and the relative frequency of values between 0.8 and 1.2 denoted hereafter by QP. Within the margin of 0.9-1.2 of  $\lambda_m$ , 0.13-0.26 of SD<sub> $\lambda$ </sub>, and 50-90% of QP for the different criteria, it was found that the PARECT criterion has the best overall rank ( $\lambda_m = 0.91$ , SD<sub> $\lambda</sub> = 0.13$  and QP=90%) and will hereafter be used as a reference value of  $Q_l$  denoted hereafter by  $Q_l^{PAR}$ .</sub>

Site	Location	Diameter	D/B	Pile material	Installation
		(m)			
$S_1$	Loon Beach (France)	0.42	19.0	Reinforced concrete	bored
$S_2$	Pigeon river (USA)	0.36	23.1	Steel closed ended	driven
$S_3$	Pigeon river (USA)	0.36	23.1	Steel open ended	driven
$S_4$	North Osaka bay (Japan)	1.50	29.3	Not precised	Not precised
		0.35	30.3	Reinforced concrete	bored
$S_5$	Sao-Carlos (Brazil)	0.40	26.5	Reinforced concrete	bored
		0.50	21.2	Reinforced concrete	bored
S <sub>6</sub>	Univ. Texas (USA)	0.273	33.5	Steel closed ended	driven
$S_7$	Montevilliers (France)	0.41	36.6	Precast reinforced	screwed
				concrete	
$S_8$	Surra South (Kuwait)	0.10	22.5	Reinforced concrete	bored

Table 3. Main features of the pile/soil configurations (Bouafia, 2022)

# 4.2. Comparative study of the methods of bearing capacity

Methods of pile bearing capacity summarized in table 2 were applied to each test pile. Since in most cases, the location of piles is not specified with respect to the SPT boreholes, it was decided to carry out the calculation in each borehole which takes into account the spatial variability of the soil properties, involving so 107 cases to be computed by each method.

Automated computational procedure was then carried out by writing a Fortran computer program called VERBEAR (VERtical BEARing capacity) (Bouafia & Derbala, 2002b).

It is to be noted the method 7 of table 2 is not applicable because it is limited to the driven piles whereas all the piles studied are bored.

Comparison between the predictions of the pile bearing capacity was carried out on the basis of the "bearing capacity ratio"  $\lambda$  defined as follows:

$$\lambda = \frac{Q_l^{Pred}}{Q_l^{PAR}}$$
(5)

where  $Q_l^{pred}$  and  $Q_l^{par}$  are respectively the predicted bearing capacity according to a given method and that estimated by the PARECT criterion. The statistical analysis of this parameter considered as a random variable, with a size of 107 values for each method, led to constructing histograms which were fitted by the Gauss's probability function and characterized by the mean value  $\mu$  and the standard deviation  $\sigma$  (See Figure 3).

The procedure of ranking the studied methods on the basis of their predictive capability was based on three criteria: the accuracy, the precision, and the quality of prediction respectively quantified by  $\mu$ ,  $\sigma$ , and QP. The last criterion is the defined as the probability that  $\lambda$  is within 0.8 and 1.2, and involves some tolerance about the under- and over- predictions of the bearing capacity. An ideally accurate method has  $\mu$ =1, whereas an ideally precise method has  $\sigma$ =0. Moreover, an ideal quality of prediction is characterized by QP=100%.

As summarized in table 4, the methods of bearing capacity differ notably with respect to their accuracy, precision, and the quality of prediction. Shioi & Fukui's method was remarkably found the most accurate, the most precise, and having the highest quality of prediction. It is therefore the best ranked and may be recommended as a simple and practical approach to estimate the pile bearing capacity based on the SPT test. The formulas of  $Q_p$  and  $Q_s$  may easily be computed using respectively equations (2) and (3) by using the values of  $K_s$  and  $n_s$  recommended by Shioi-Fukui and compiled in table 2.

## 5. ANALYSIS OF THE CRITICAL LOAD

The concept of bearing capacity is somewhat ambiguous, because the mobilization threshold of the bearing

capacity, in terms of pile displacement, is not specified. Moreover, it depends significantly on a multitude of

parameters, particularly the loading rate.



Figure 3. Gauss's probability density functions of the methods

Among the recent concepts postulated by experimental studies of the bearing capacity of piles, is that of the "critical load" (or creep load), the load-settlement curve being characterized by an initial linear portion up to a value called the critical force  $Q_c$ . This force corresponds also to the threshold of pile settlement instability in time. Consequently, exceeding  $Q_c$  leads to a considerable increase in settlement rate and settlements are no longer stabilized in time.

Figure 4 illustrates a typical experimental diagram of stabilization of the pile settlements during a vertical load test, where  $Q_c$  conventionally corresponds to the abscissa of the intercept of two straight lines, showing a significant increase in settlement rate beyond the critical load.

The concept of critical load is inspired by the "creep pressure" measured in the pressuremeter test (PMT), beyond which the radial displacements of the PMT borehole are no longer proportional to the applied pressure and diverge towards failure.

		Rank of		Rank of	QP	Rank of	Sum of	Overall
Method	μ	accuracy	σ	precision	(%)	quality of	ranks	ranking
						prediction		
Shioi	1.27	1	0.09	1	21.6	1	3	1 <sup>st</sup>
Lopez-Laprovitera	1.50	3	0.39	4	18.5	2	9	$2^{nd}$
Robert	1.51	4	0.29	3	13.7	3	10	3 <sup>rd</sup>
Meyerhof	0.54	2	0.11	2	0.0	8	12	4 <sup>th</sup>
Reese	1.77	6	0.45	5	8.7	4	15	5 <sup>th</sup>
Bazarra	1.61	5	2.22	9	7.0	5	19	6 <sup>th</sup>
Hansen-Burland	2.31	7	0.88	8	6.0	6	21	7 <sup>th</sup>
Aoki	3.04	8	0.84	7	1.0	7	22	8 <sup>th</sup>
Décourt	4.81	9	0.57	6	0.0	8	23	9 <sup>th</sup>

Table 4. Ranking of the methods according to accuracy, precision and quality of prediction



Figure 4. Typical diagram of stabilization of pile settlements

As illustrated by figure 5,  $Q_c$  is remarkably proportional to the bearing capacity predicted by the PARECT criterion adopted to interpret the experimental load-settlement curves, and corresponds to about 77% the pile bearing capacity.



Figure 5. Variation of  $Q_c$  vs  $Q_l^{PAR}$ 

It is interesting to highlight a fundamental feature of the PARECT function, as described in table 1 and illustrated in figure 6. The elastic-perfectly plastic function is characterized by an initial linear portion up to a settlement  $v_0^{c}$  corresponding to  $Q_l/K_{v0}$ , which is equal to half the reference settlement  $v_0^{R}$ , corresponding to the bearing capacity. Replacing  $v_0$  by  $v_0^{c}$  into the equation of PARECT gives Q=0.75Q<sub>l</sub> which corresponds practically to Q<sub>c</sub>. Consequently, the critical load Q<sub>c</sub> may be considered as the limit of the linear load-settlement response of the pile. The critical force Q<sub>c</sub> is a key parameter used in the serviceability limit state (SLS) design, where the working loads should be limited to a fraction of Q<sub>c</sub> in order to keep the pile working within the domain of linear behaviour.



Figure 6. General scheme of the PARECT function

In the case of unavailability of the pile loading test, the critical load may be estimated as a linear combination of the limit loads  $Q_p$  and  $Q_s$  as follows:

$$Q_{c} = \alpha Q_{p} + \beta Q_{s} \tag{6}$$

According to the French standard NF P94-262 accompanying the Eurocode 7, coefficients  $\alpha$  and  $\beta$  depend on the mode of pile installation. When using the French methods based on the PMT or the CPT tests, this standard prescribes that  $\alpha=\beta=0.7$  for driven or jacked piles and  $\alpha=0.5$  and  $\beta=0.7$  for bored piles (Bouafia, 2017).

A multiple linear regression analysis was undertaken by using the least squares technique on the basis of equation (6) in order to formulate  $Q_c$  as bilinear function of  $Q_p$  and  $Q_s$  computed by Shioi and Fukui's method applied to the UAE test piles, which led to the values  $\alpha$ =0.24 and  $\beta$ =1.41 with a regression coefficient R equal to 97.2% indicating an excellent quality of fitting (See figure 7). Consequently, in absence of the pile loading test, equation (6) offers a simple formula to estimate  $Q_c$  by using the values of  $Q_p$  and  $Q_s$  already computed by Shioi and Fukui's method.



Figure 7. Comparison of predicted and measured values of Q<sub>c</sub>

# 6. ANALYSIS OF THE REFERENCE SETTLEMENT

The PARECT function is formulated as follows:

$$Q = 2Q_l \frac{v_0}{v_0^R} \left( 1 - \frac{1}{2} \frac{v_0}{v_0^R} \right)$$
(7)

Where Q and  $v_0$  are respectively the vertical applied load and the corresponding pile top settlement. As shown in figure 5, the reference settlement  $v_0^R$  is defined as the threshold of full mobilization of the bearing capacity  $Q_l$ . According to equation (7), it is given by:

$$v_0^R = 2 \, \frac{Q_l}{K_{v0}} \tag{8}$$

where  $K_{v0}$  is the initial vertical pile stiffness. Statistical analysis of the normalized reference settlement  $v_0^R/B$  led to a mean value of 0.61% and a 95% confidence interval of this mean value of 0.39-0.83%, which is very small compared to the conventional value of 10% as prescribed by the conventional criterion of bearing capacity. Moreover, as it was already mentioned, since the critical settlement  $v_0^c$  is half the value de  $v_0^R$ , it may then be characterized by a mean value of 0.3%. This value may be considered as an admissible settlement for pile foundations because it corresponds to the critical load  $Q_c$  according to PARECT function. For comparison purposes, a similar study carried out on a database of 67 pile loading tests located in Algeria, showed that  $v_0^R/B$  of reinforced concrete piles bored in a variety of soil conditions is characterized by a mean value of 0.92% whereas the 95% confidence interval of this mean value is 0.43-1.40%, indicating the same order of magnitude (Bouafia et al, 2022).

Based on these considerations, it is possible to suggest a schematic normalized load-settlement of reinforced concrete bored piles in sandy sands, as depicted in figure 8, where it can be noticed three domains: Domain A corresponding to the linear pile response up to an admissible settlement of 0.3% of B, Domain B within which a non-linear elastoplastic response is exhibited up to 0.6% of B, and domain C where an ultimate limit state (ULS) is reached by exceedance of the pile bearing capacity. This latter is recommended to be computed by the Shioi and Fukui's method.



Figure 8. Normalized load-settlement curve in sandy soils

# 7. CONCLUDING REMARKS

Based on a detailed interpretation of the experimental data of axial pile loading tests carried out in the United Arab Emirates, this paper highlights some practical aspects of the pile design under axial loads in correlation with the standard penetration test (SPT) data.

Comparative study of 10 currently used methods of pile bearing capacity based on the SPT test was carried out by evaluating their predictive capability within a database consisting of 46 axial pile loading tests carried out in 27 sites in the United Arab Emirates. The reference bearing capacity was that given by the PARECT criterion, which was best ranked among 12 usual design criteria. The Shioi and Fukui's method was the best ranked with respect to the accuracy, the precision, and the quality of prediction and then recommended as a practical tool of estimation of the bearing capacity of reinforced concrete bored piles in sandy soils.

The second part of the paper focused on the concept of critical load used in the serviceability limit states (SLS) pile design and showed it may be estimated by 77% of the bearing capacity. Moreover, a simple formula was proposed to estimate the critical load as function of the limit loads  $Q_p$  and  $Q_s$  computed by Shioi and Fukui's method.

In the last part, the critical and reference settlements were empirically evaluated respectively as 0.3% and 0.6% of the pile diameter, the first one being considered as the admissible pile settlement whereas the second one being the threshold of full mobilization of the bearing capacity. A normalized load-settlement curve was then proposed as a practical chart to describe the different domains of the pile response under an axial load.

#### 8. ACKNOWLEDGEMENTS

The author is thankful to many companies and persons in the United Arab Emirates for their valuable cooperation by making available the experimental data for constructing the database of pile loading tests.

#### REFERENCES

Almeida. A., Liu. J. 2019. Statistical evaluation of design methods for micropiles in Ontario soils, Journal of Deep Foundations, Vol 12, No. 3, pp: 133-146.

**Aoki, N., Velloso, D.** 1975. An approximate method to estimate the bearing capacity of piles. Proceedings of the 5<sup>th</sup> Pan-American Conference on soil Mechanics and Foundation engineering, Vol 1, pp : 367-376, Buenos-Aires.

**ASTM. 1994.** Standard Test Method for Piles Under Static Axial Compressive Load, Designation: D 1143 – 81, AMERICAN SOCIETY FOR TESTING AND MATERIALS

100 Barr Harbor Dr., West Conshohocken, PA 19428, 11 pages.

**Bazarra, A.R., Kurkur, M.M.**1986. N-values used to predict settlements of piles in Egypt. In: *Use of In-situ tests in geotechnical engineering*, ASCE Geotech. Special Publication, Clemence ed., Vol. 6, pp : 462-474.

**Bouafia**, A., Derbala. A. 2002a. Analyse de la capacité portante de cinquante pieux forés dans le sable (In French), Bulletin des Laboratoires des Ponts & Chaussées LCPC, N° 241, Nov-Décembre 2002, PP: 3-12.

**Bouafia, A., Derbala, A.** 2002b. Assessment of SPT-based methods of pile bearing capacity- Analysis of a database, Proceeding of the International workshop on *Foundation Design & Soil Investigation in view of International Harmonization and Performance Based Design IWS Kamakura'02*, 11-12 April, 2002, Kamakura, Japan, Japanese Geotechnical Society JGS and TC20, TC23 and TC32 of ISSMGE,

**Bouafia, A**. 2004. The UAE database of single bored deep foundations - Comparative study of design methods, Proceedings of the International Conference on Geotechnical Engineering ICGE'04, October 3-6, 2004, University of Sharjah, United Arab Emirates, 8 p.

**Bouafia, A., Haddad. L., Mellout, A., Sail, Y** .2022. Analyse expérimentale du comportement axial des pieux isolés - Retour d'expérience et recommandations pratiques (in French), Journal Algérie Equipement, No. 67, june 2022, pp : 84-98, eISSN : 2716-7801, ENSTP, Algiers.

**Bouafia, A. 2017**. Introduction à la réglementation géotechnique (In French), edited by OPU (Office des Publications Universitaires) of Algiers, Vol. 1 : Fondations superficielles et profondes (Shallow and deep foundations), ISBN :978-9961.0.2025.8, 379 p.

**Burland, J.B**.1973. Shaft friction piles in clay-A simple fundamental approach, *Ground Engineering*, vol. 6, N°3, PP. 30-42.

**CGS**. 2006. Canadian Foundation Engineering Manual-2006, 4<sup>th</sup> edition, 3<sup>rd</sup> printing in 2012, edited by the Canadian Geotechnical Society, 503 p.

**Décourt, L.**1982. Prediction of the bearing capacity of piles based exclusively on N-Value of the SPT. Proceedings of 2<sup>nd</sup> European Symposium on penetration testing, Vol 1, pp :29-34, Amsterdam.

**Duzceer, R., Saglamer, A**. 2002. Evaluation of pile load test results, Proceedings of the 9<sup>th</sup> Intl. Conf. On piling and deep foundations, Nice, june 3-5, 2002, pp:637-644.

Hansen, J.B.1970. A revised and extended formula for Bearing Capacity, Danish Geotechnical Institute Report No. 28, Copenhagen, 21 pages.

**Hirany, A., Kulhawy, F.H.** 1988. Conduct and interpretation of loading tests on drilled shaft foundations, Volume 1- Detailed guidelines, EL-5915, Final report, Research project 1493-4, Cornell University, 376 p.

**Lopes, R.F, Laprovitera, H**.1988. On the prediction of the bearing capacity of bored piles from dynamic penetration tests. Proceedings of Deep foundations on bored and auger piles BAP'88, Van Impe (ed), pp: 537-540.

**Meyerhof, G.G.** 1976. Bearing capacity and settlement of pile foundations. Journal of Geotech. Engg. ASCE, Vol.102, No.3, pp :1-19.

**Miad, M., Mahious, K**. 2021. Comportement des pieux isolés sous charge axiale-Evaluation des critères de capacite portante et des méthodes basées sur l'essai SPT (In French), Dissertation of M.Eng of Geotechnical Engineering, University of Blida, 194 p.

**PHRI.** 1980. TSPHF: Technical Standards for Port & Harbour Facilities in Japan, Chapter 4: Bearing capacity of pile foundations, p. 123-136, 1980.

**Reese, L.C., O'Neill, M.W**. 1989. New design method for drilled shafts from common soil and rock tests. Proceedings of Congress foundation engineering-Current principles and practices, ASCE, Vol 2, pp :1026-1039.

Robert, Y. 1997. A few comments on pile design. Can. Geotech. J. Vol.34. pp : 560-567.

**Shioi, Y., Fukui, J.**1982. Application of N-value to design of foundations in Japan, Proceeding of the 2<sup>nd</sup> ESOPT, Vol. 1, pp 159-164.

Stuedlein, A.W., Reddy, S.C., & Evans, T.M. 2014. Interpretation of ACIP capacity using static loadings tests, Journal of Deep Foundations, Vol. 8, No.1, pp: 39-47.